



Atty. Dkt. No. 032931-0218

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee: Alain Cadieux

Title: USE OF CACITONIN GENE-RELATED PEPTIDE IN THE
PREVENTION AND ALLEVIATION OF ASTHMA AND RELATED
BRONCHOSPASTIC PULMONARY DISEASE

Patent. No.: 6,743,429 *B2*

Serial No.: 09/475,072

Issue Date: June 1, 2004

Filing Date: December 30, 1999

Examiner: P. Nolan

Art Unit: 1644

Certificate
JUL 02 2004
of Correction

REQUEST FOR CERTIFICATE OF CORRECTION FOR
APPLICANT MISTAKE PURSUANT TO 37 C.F.R. § 1.323

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed, in duplicate, is a Certificate of Correction, Form PTO/SB/44, for United States Patent Number 6,743,429 issued June 1, 2004. The following errors appear in the issued patent:

ON THE FRONT OF THE PATENT

Item (73) Assignee: Please delete "Sherbrooke University" and insert --
Universite de Sherbrooke --.

Patentee filed a petition on February 18, 2004, to correct the name of the Assignee on the Patent. The Petition was granted on March 15, 2004, however, the Petitions Examiner, Frances Hicks, stated that if the correct data did not appear on the issued patent, a certificate of correction could be requested. Copies of the Petition and Decision on Petition are attached.

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JUL 06 2004

In view of the above, and pursuant to 37 C.F.R. §1.323, the Patentee requests that the enclosed Certificate of Correction be approved.

Patentee submits that the above changes would not constitute new matter, and correction thereof would not require reexamination.

A check in the amount of \$100.00 is enclosed for the amount stated in 37 C.F.R. §1.323 to cover the fee for this request.

Respectfully submitted,

Date 28 June 2004

By S. A. Bent

FOLEY & LARDNER LLP
Customer Number: 22428
Telephone: (202) 672-5407
Facsimile: (202) 672-5399

Stephen A. Bent
Registration No. 29,768

Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it displays a valid OMB control number.
(Also Form PTO-1050)

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO : 6,743,429 *B2*

DATED : 06/01/2004

INVENTOR(S) : Alain CADIEUX

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

ON THE FRONT OF THE PATENT

Item (73) Assignee: Please delete "Sherbrooke University" and insert -- Universite de Sherbrooke --.

MAILING ADDRESS OF SENDER:

Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5143

PATENT NO. 6,743,429 *B2*

No. of additional copies



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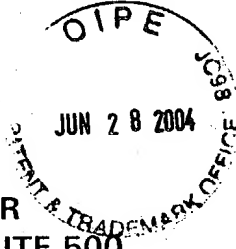
JUL 06 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. Box 1450
ALEXANDRIA, VA 22313-1450
WWW.USPTO.GOV

STEPHEN A BENT
FOLEY & LARDNER
WASHINGTON HARBOUR
3000 K STREET NW, SUITE 500
WASHINGTON, DC 20007-5109



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MAR 15 2004

OFFICE OF PETITIONS

In re Application of
Alain Cadieux
Application No. 09/475,072
Filed: December 30, 1999
Attorney Docket No. 031931/0218
32931/018

:
:
: DECISION ON PETITION
:
:

This is a decision on the petition filed February 16, 2004 to correct assignment data on Form PTOL-85(b).

The petition is **granted**.

In view of the expedited issuance of patents, the printing process of this application may have progressed to the point where the corrected assignee data cannot be included on the printed patent. If the corrected assignee data does not appear on the printed patent, petitioner may request a certificate of correction pursuant to the provisions of 37 CFR 1.323 and pay the \$100 fee therefor.

Telephone inquiries concerning this matter may be directed to the undersigned at (703) 305-8680. Any questions concerning the issuance of this application into a patent should be directed to Customer Services at (703) 308-6789.

The matter is being referred to Publishing Division.


Frances Hicks

Petitions Examiner
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alain CADIEUX
Title: USE OF CALCITONIN GENE-RELATED PEPTIDE IN THE
PREVENTION AND ALLEVIATION OF ASTHMA AND RELATED
BRONCHOSPASTIC PULMONARY DISEASE
Appl. No.: 09/475,072
Filing Date: 12/30/1999
Examiner: Patrick J. Nolan
Art Unit: 1644

PETITION

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to conversations with the Petitions Office at the United States Patent and Trademark Office, Applicant is filing the present Petition to request that the correct assignee's name, Universite de Sherbrooke, be included in the patent that will issue shortly.

Applicant paid the Issue Fee and submitted PTOL-85 Form on December 23, 2003. In the aforementioned form, the assignee's name was indicated as Sherbrooke University. The correct assignee's name is Universite de Sherbrooke. In order to correct this error, the Petitions Office instructed Applicant to file a Petition. Applicant believes the request should be considered in view of the following.

1. A notice of recordation was issued by the Patent Office on April 11, 2000 on reel/frame 010740/0960 designating Sherbrooke University as the assignee based on the assignment document submitted by the inventor, Alain Cadieux. A copy of this document is marked as Attachment A.

JUL 06 2004

2. Applicant recognized the error in the issued recordation and immediately filed a second request for recordation correcting the assignee's name from Sherbrooke University to Universite de Sherbrooke. The Patent Office recorded this second request on November 16, 2000 on reel/frame 011315/0625. A copy of this document is attached as Attachment B.

CONCLUSION

Since the applicant had followed the procedures in making the necessary corrections, it is respectfully requested that Universite de Sherbrooke be listed as the assignee in the patent to be issued. Should there be any deficiencies in or questions raised by the present filing, the petitioner's undersigned representative can be reached by telephone to promptly address and resolve such issues.

Respectfully submitted,



Date February 18, 2004

FOLEY & LARDNER
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

Telephone: (202) 672-5300

Facsimile: (202) 672-5399

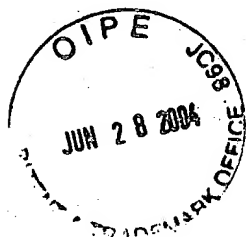
Stephen A. Bent
Registration No. 29,768

Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.

ATTACHMENT A

JUNE 29, 2000

FOLEY & LARDNER
STEPHEN A. BENT
WASHINGTON HARBOUR
3000 K STREET, N.W., SUITE 500
WASHINGTON, D.C. 20007-5109



PTAS



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



32931/

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/11/2000

REEL/FRAME: 010740/0960
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
CADIEUX, ALAIN

DOC DATE: 02/14/2000

ASSIGNEE:
SHERBROOKE UNIVERSITY
PAVILLON CENTRAL, LOCAL 043
SHERBROOKE, QUEBEC J1K 2R1, CANADA

SERIAL NUMBER: 09475072
PATENT NUMBER:

FILING DATE: 12/30/1999
ISSUE DATE:

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

JUN 28 2004

05-03-2000

Atty. Dkt. No. 032931/0218

FORM PTO-1595 (modified)

(Rev. 6-93)

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SHEET

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Patent and Trademark Office

To the Commissioner of Patents and

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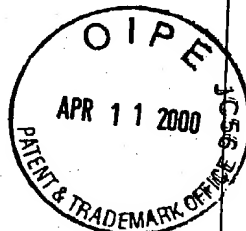
1. Name of conveying party(ies):

4-11-00

Alain CADIEUX

2. Name and address of receiving party(ies):

SHERBROOKE UNIVERSITY
Pavillon Central, Local 043
Sherbrooke, Quebec J1K 2R1, CANADA



Additional conveying party(ies) NO

3. Nature of conveyance:

ASSIGNMENT

Execution Date:

February 14, 2000

4. Application number(s) or patent number(s):

Additional name(s) & address(es) attached? NO

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

09/475,072

B. Patent Number(s):

Additional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Stephen A. Bent
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5109

6. Total number of applications/patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Check Enclosed

Charge to deposit account

8. Deposit account number: 19-0741

02/2000 JSHARAZZ 00000102 09475072

FC:581

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DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

Stephen A. Bent

Name of person signing

Stephen A. Bent

Signature

April 11, 2000

Date

Total number of pages including cover sheet, attachments, and document: 2

ASSIGNMENT

WHEREAS, I, ALAIN CADIEUX, citizen of Canada, whose full post office address is 1790 St. Roch Sud, Rock Forest, Quebec, J1N 3B8, Canada; has invented certain improvements in

USE OF CALCITONIN GENE-RELATED PEPTIDE IN THE PREVENTION AND ALLEVIATION OF ASTHMA AND RELATED BRONCHOSPASTIC PULMONARY DISEASES

for which applications for patent for such invention have been filed in Canada and the United States of America;

AND WHEREAS, UNIVERSITÉ DE SHERBROOKE, whose full post office address is Pavillon Central, Local 043, Sherbrooke, Quebec, J1K 2R1, Canada, is desirous of acquiring the entire interest therein;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ALAIN CADIEUX, by these presents do sell, assign and transfer unto UNIVERSITÉ DE SHERBROOKE, the full and exclusive right, for the territory of the United States of America, and all other countries, in and to the said invention, as fully described and claimed in the application filed on DECEMBER 24, 1999 under serial No. ~~2012902~~, preparatory to obtaining Letters Patent of Canada therefor, and in the application filed on DECEMBER 30, 1999, under serial No. 09/475,072, preparatory to obtaining Letters Patent of the United States of America therefor; and I hereby request the Commissioners of Patents of Canada and of the United States of America to issue the said Letters Patent to the said UNIVERSITÉ DE SHERBROOKE, as the assignee for its interest, for the sole use and behoof of the said UNIVERSITÉ DE SHERBROOKE, and its legal representatives, to the full end of the term for which each of said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

The parties hereto have required that this document be drawn up solely in the English language. Les parties aux présentes ont demandé que le présent document soit rédigé seulement en anglais.

EXECUTED at
this 14th day of

FEBRUARY

Québec Canada

, 2000.

WITNESS:

Solange Cloutier
SOLANGE CLOUTIER

Alain Cadieux
ALAIN CADIEUX

ATTACHMENT B



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FEBRUARY 15, 2001

FOLEY & LARDNER
STEPHEN A. BENT
WASHINGTON HARBOUR
3000 K STREET, N.W., SUITE 500
WASHINGTON, D.C. 20007-5109

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RECORDATION DATE: 11/16/2000

REEL/FRAME: 011315/0625
NUMBER OF PAGES: 3

BRIEF: (ASSIGNMENT OF ASSIGNOR'S INTEREST) CORRECTIVE ASSIGNMENT TO
CORRECT THE ASSIGNEE ON REEL 010740 FRAME 0960.

ASSIGNOR:
CADIEUX, ALAIN

DOC DATE: 02/14/2000

ASSIGNEE:
UNIVERSITE DE SHERBROOKE
PAVILLON CENTRAL, LOCAL 043
SHERBROOKE, QUEBEC J1K 2R1, CANADA

SERIAL NUMBER: 09475072
PATENT NUMBER:

FILING DATE: 12/30/1999
ISSUE DATE:

SEDLEY PYNE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

12-12-2000

Atty. Dkt. No. 032931/0218

FORM PTO-1595 (modified)

(Rev 6-93)

RECOR



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U.S. DEPARTMENT OF COMMERCE

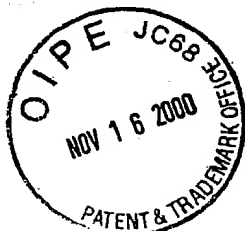
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Patent and Trademark Office

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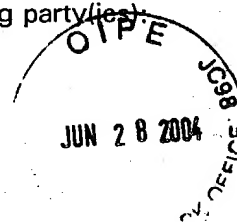
1. Name of conveying party(ies):

Alain CADIEUX



2. Name and address of receiving party(ies):

Universite de Sherbrooke
Pavillon Central, Local 043
Sherbrooke, Quebec J1K 2R1, CANADA



Additional conveying party(ies) NO

3. Nature of conveyance:

Corrected Notice of Recordation

Execution Date:

February 14, 2000

Additional name(s) & address(es) attached? NO

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

09/475,072

B. Patent Number(s):

Additional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Stephen A. Bent
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5109

6. Total number of applications/patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

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Charge to deposit account

8. Deposit account number: 19-0741

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9. Statement and signature:

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Stephen A. Bent

Name of person signing

Signature

November 16, 2000

Date

Total number of pages including cover sheet, attachments, and document: 4